

WHISTLEBLOWER POLICY

This policy provides the principles and guidelines to support the Whistleblower processes at Hillbrook.

1.0 Purpose

The purpose of this policy is to encourage the reporting of corrupt, illegal or other undesirable conduct at Hillbrook. It outlines how individuals can appropriately make disclosures about these matters and how Hillbrook will protect those individuals from detrimental consequences

2.0 Scope

This policy applies to the Board, staff, contractors and service providers, other persons associated with the School, including students and parents.

3.0 Policy

Policy Statement

At Hillbrook, we strive to maintain a positive working environment of trust and respect where all voices can be heard. Fundamental to this is our commitment to protecting the rights of anyone who reports wrongdoing in good faith.

Hillbrook is committed to maintaining and promoting high standards of integrity, governance and ethical behaviour within the organisation by people at all levels, starting with the School Board, the Principal and School Leadership Team.

Hillbrook is committed to operating:

- legally complying with the applicable laws and practices including the *Corporations Act 2001* and *Australian Standard AS8004 – 2003 Whistleblower Protection Program for Entities*,
- properly, in accordance with its Policies and procedures
- ethically, in accordance with its values and beliefs.

All Hillbrook employees and associates have a responsibility to help detect, prevent and report instances of suspicious activity or wrongdoing.

Eligible Whistleblower – Who qualifies for protection?

A Whistleblower who is eligible for protection is an individual such as an officer, employee, a service provider who supplies goods or services to the School or associate (or their relative) who is or has been in a relationship with Hillbrook and who brings a Disclosable Matter to the attention of the School under this policy.

Disclosable Matter – What kind of disclosures can be made under this policy?

A disclosure is eligible for protection if it relates to actual or suspected conduct within Hillbrook that is:

- misconduct (including fraud, negligence, default, breach of trust and breach of duty), or an improper state of affairs or circumstances in relation to Hillbrook
- is an offence against, or contravention of the Corporations Act 2001 or the Australian Securities and Investments Commission Act 2001(Cth)
- conduct that represents a danger to the community or the financial system of the School
- an offence against any other law of the Commonwealth that is punishable by imprisonment for 12 months or more.

A disclosable matter does not include a personal work grievance, which is covered in the School's Grievance Resolution Policy.

Eligible Recipients – To whom should a disclosure be made?

Disclosures qualify for protection if they are made to eligible recipients. Those belong to the following categories of persons:

- a senior manager of Hillbrook
- the Whistleblower Investigations Officer of Hillbrook
- an auditor, or a member of an audit team conducting an audit of Hillbrook.

Disclosures made to a legal practitioner for obtaining legal advice or legal representation are also protected.

Under exceptional circumstances, the law makes provisions for whistleblowing disclosures to professional journalists and members of parliament. These are called "emergency disclosures" and "public interest disclosures"; however, they require strict adherence to prescribed processes before being made to qualify for protection.

Detriment

This policy seeks to prevent Whistleblowers from Detriment, which includes the following:

- dismissal of an employee
- injury of an employee in his or her employment
- alteration of an employee's position or duties to his or her disadvantage

- discrimination between an employee and other employees of the same employer
- harassment or intimidation of a person
- harm or injury to a person, including psychological harm
- damage to a person's property
- damage to a person's reputation
- damage to a person's business or financial position
- any other damage to a person.

Whistleblower Investigation Officer

The Principal is appointed as a Whistleblower Investigations Officer and will investigate the substance of the complaint to determine whether there is evidence in support of the matters raised or to refute the report.

The Whistleblower Investigations Officer can be contacted by the following means:

- *email:* Geoff.Newton@hillbrook.qld.edu.au
- *phone:* 3354 3422
- *in person:*
- *anonymously.*

Whistleblower Protection Officer

The Chief Financial Officer is appointed as a Whistleblower Protection Officer and will safeguard the interests of the Whistleblower in terms of this policy and any applicable legislation and standards. The Whistleblower Protection Officer will be readily accessible by all staff and will have direct, unfettered access to independent financial, legal and operational advisers as required.

The Whistleblower Protection Officer can be contacted by the following means:

- *email:* Bronwyn.Wearne@hillbrook.qld.edu.au
- *phone:* 3354 6469
- *in person:*
- *other:*

The responsibilities of the Whistleblower Protection Officer and Whistleblower Investigations Officer will not reside in the same person. They should operate, and be seen to operate, independently of each other and should act in such a way that they discharge the two quite separate functions independently of each other.

Reporting

Hillbrook is committed to providing a safe, reliable and confidential way of reporting any Disclosable Matters. A report under this Policy can be made if individuals falling into the

category of Eligible Whistleblower have grounds to suspect that Disclosable Matters have taken place.

A report may be made verbally, including via telephone, in writing or via email. The report should include:

- the nature of the allegation and the individuals involved
- the key facts in providing evidence that a disclosable matter exists
- nature and whereabouts of any additional evidence that substantiates the allegations

A report can be made to any of the following persons, noting it may depend on the matter and the person who is the subject of the matter:

- Principal/Deputy Principals
- Whistleblower Investigations Officer
- Board Chair
- other Eligible Recipients as defined in Section 4.0.

At any stage, a person in the list above can be skipped if that person is the subject of the report or if the Whistleblower has another reason to believe that the person is not likely to deal with the report properly. While reports can be made anonymously if preferred, this may affect the ability to investigate the matter properly and to communicate with the Whistleblower about the report.

Investigation

Investigation processes will vary depending on the precise nature of the conduct being investigated. The purpose of the investigation is to determine whether or not concerns are substantiated, with a view to rectifying any wrongdoing uncovered to the extent that this is practicable in all the circumstances.

The investigation will be thorough, objective, fair and independent of the Whistleblower and anyone who is the subject of the Disclosable Matter. The investigation will also have proper regard to the principles set out in the Australian Standard AS8004-2003 on Whistleblower Protection Program for Entities.

The Whistleblower will receive feedback regarding the investigation arising from their report, subject to considerations of the privacy of anyone who is the subject of the Disclosable Matter and standard confidentiality requirements.

Protections

The Australian Securities & Investment Commission (ASIC) provides general information about [protections available to whistleblowers](#).

At Hillbrook, a Whistleblower will not be disadvantaged by having made a report. This includes not being disadvantaged by way of dismissal, demotion, any form of harassment,

discrimination or current or future bias (see definition of "Detriment" above). This protection extends to the Whistleblower's colleagues and relatives.

If reprisals are taken or are claimed to have been taken against a Whistleblower, the Whistleblower Protection Officer will investigate the matter and recommend appropriate action be taken. The Whistleblower has a right to make requests through the Whistleblower Protection Officer for positive actions of protection to be taken.

Hillbrook will keep the reporting confidential and secure within the law. It will not disclose the identity of a Whistleblower, nor disclose information that is likely to lead to the identification of the Whistleblower, unless required under law.

Awareness

The Hillbrook will ensure that all its officers and employees are aware of this policy in the following ways:

- Inclusion of this policy in the staff induction documents
- Availability of this policy on the staff intranet
- Availability of this policy on the Hillbrook website
- Circulation of the policy to all staff via email.

4.0 Privacy

All information that is supplied during this process will be kept in accordance with the School's Privacy Policy.

5.0 Review

As with all School Policies, Hillbrook reserves the right to alter this Policy at any time and which is in line with advice received from Independent Schools Queensland. The Board will review this Policy on annual basis.

6.0 Related Documents

Policies:

- Privacy

References: Corporations Act 2001 (Cth)